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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

~~In re Application of~~

Lawrence M. Ausubel	:	
Serial No.: 09/898,483	:	Art Unit: To be assigned
Filed: July 5, 2001	:	Examiner: To be assigned
For: System and Method for an Efficient Dynamic Multi-Unit Auction	:	Atty Docket: 21736/0009
	:	
	:	

**RESPONSE TO "NOTICE TO FILE MISSING PARTS"**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION** dated July 30, 2001, Applicant submits herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Copy executed Declaration;
3. Abstract; and
3. Our check for \$756 covering:

\$355 - Base Filing fee,  
\$ 65 - Surcharge for late filing of Declaration,  
\$216 – 24 additional claims, and  
\$120 – 3 additional independent claims.

The Director is hereby authorized to charge any insufficient fees, including any fees required under 37 CFR §§ 1.16 or 1.17, or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this authorization is also attached.

Respectfully submitted,

Stanley S. Green

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Telephone: 202-331-7111

Date: August 23, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,483	07/05/2001	Lawrence M. Ausubel	1736/00009

## CONFIRMATION NO. 3673

## FORMALITIES LETTER



\*OC00000006356763\*

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 Suite 800  
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 Washington, DC 20036-3425

Date Mailed: 07/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$336.
  - \$216 for 24 total claims over 20.
  - \$120 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 756.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

08/24/2001 SDENB001 00000042 09898483

01 FC:201	355.00	OP
02 FC:202	120.00	OP
03 FC:203	216.00	OP
04 FC:205	65.00	OP

*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Initial Patent Examination Division  
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